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JCS64 U.S. PTO  
02/18/00

PATENT

Docket No. 3553-4020US2

Express Mail Label No. EJ606951941US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS  
Box Patent Application  
Washington, D.C. 20231

JCS30 U.S. PTO  
09/507509  
02/18/00

Sir:

Transmitted herewith for filing is the patent application of

Named Inventor(s) and  
Address(es):

Jay S. Walker, 124 Spectacle Lane, Ridgefield, Connecticut 06877  
T. Scott Case, 29 Anthony Lane, Darien, Connecticut 06820  
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For: CONDITIONAL PURCHASE OFFER MANAGEMENT SYSTEM

Enclosed are:

[X] 29 page(s) of specification, 1 page(s) of Abstract, 17 page(s) of claims

[X] 27 sheets of drawing (Figs. 1-19) [X] formal [ ] informal

[X] 3 page(s) of Declaration and Power of Attorney

- [ ] Unsigned
- [ ] Newly Executed
- [X] Copy from prior application
- [X] Copy of Associate Power of Attorney

[ ] Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)

[ ] Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

[ ] Microfiche Computer Program (Appendix)

[ ]        page(s) of Sequence Listing

09507509 "02/18/00"

- ☐ computer readable disk containing Sequence Listing  
☐ Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same
- ☐ Claim for Priority
- ☐ Certified copy of Priority Document(s)
- ☐ English translation documents
- ☒ Information Disclosure Statement
- ☐ Copy of \_\_\_\_ cited references
- ☒ Copy of PTO-1449 filed in parent application serial No. 09/443,158.
- ☐ Preliminary Amendment
- ☒ Return receipt postcard (MPEP 503)
- ☒ Assignment Papers (assignment cover sheet and assignment documents)
- ☒ Assignment papers filed in parent application Serial No. 08/889,319.
- ☐ Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).
- ☒ This application is a continuation of prior US patent application serial no. 09/443,158, filed November 18, 1999 which is a continuation of prior US patent application serial no. 08/889,319, filed July 7, 1997 which is a continuation-in-part (C-I-P) of prior U.S. Patent Application Serial No. 08/707,660, filed September 4, 1996, now issued US Patent No. 5,794,207, each of which is incorporated in its entirety by reference herein.
- ☒ Cancel in this application original claims 1-97 of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☒ A preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.
- ☒ The status of the parent application is as follows:
- ☐ A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until \_\_\_\_.
- ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- ☒ No Petition For Extension of Time and Fee therefor are necessary in the co-pending parent application.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- ☐ Transfer the drawing(s) from the patent application to this application.

- ☒ Amend the specification by inserting before the first line the sentence:  
This is a ☒ continuation ☐ divisional ☐ continuation-in-part of co-pending application Serial No. 09/443,158, filed November 18, 1999 which is a continuation application of serial no. 08/889,319, filed July 8, 1997 which is continuation-in-part of application serial no. 08/707,660, filed September 4, 1996, now US Patent No.5,794,207.

I. CALCULATION OF APPLICATION FEE (For Other Than A Small Entity)

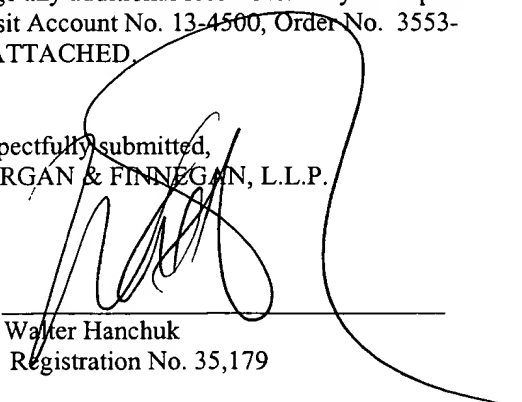
					Basic Fee
	Number Filed		Number Extra	Rate	\$690.00
Total Claims	50	-20=	30	x\$18.00	\$540.00
Independent Claims	4	- 3=	1	X\$78.00	\$78.00
Multiple Dependent Claims					
	[ ] yes		Additional Fee =	\$260.00	\$0
	[ X ] no		Add'l Fee =	NONE	

Total: \$ 1308.00

- ☐ A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(F) (50% of total) paid herewith \$ \_\_\_\_\_.
- ☒ Please direct all correspondence to
- Walter G. Hanchuk  
Morgan & Finnegan LLP  
345 Park Avenue  
New York, NY 10154  
Phone: 212-758-4800  
Fax: 212-751-6849
- ☐ A check in the amount of \$ 0.00 in payment of the application filing fees is attached.
- ☒ Charge Fee(s) to Deposit Account No. 13-4500. Order No. 3553-4020US2. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 3553-4020US2. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: February 18, 2000

By:   
Walter Hanchuk  
Registration No. 35,179

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FORM: UTL-TRAN.NY  
Rev. 11/13/98

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Jay S. Walker et al. Prior Appln. Serial No. 09/443,158  
Serial No : TBA Group Art Unit: 2768  
Examiner: TBA  
Filed : February 18, 2000  
For : **CONDITIONAL PURCHASE OFFER MANAGEMENT SYSTEM**

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EJ606951941US

Date of Deposit February 18, 2000

I hereby certify that the following attached paper(s) and/or fee Utility Application and Fee Transmittal  
(enclosing 29 pages of specification, 1 page of abstract, 17 pages of claims and 27 sheets of formal drawings (Figs  
1-19)) (two copies); a copy of the Executed Declaration and Power of Attorney (3pages); a copy of Associate Power  
of Attorney; copy of PTO Forms-1449; Information Disclosure Statement(two copies); Preliminary Amendment;  
Return Postcard is being deposited with the United States Postal Service "Express Mail Post Office to Addressee"  
service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for  
Patents, Washington, D.C. 20231.

Vivian Zhang

(Typed or printed name of person  
mailing paper(s) and/or fee)

(Signature of person mailing  
paper(s) and/or fee)

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Rev. 05/27/98